

10/19/01  
J1040 U.S. PTO

01-2920-02

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Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5532.P020  
(maximum 12 characters)

First Named Inventor Craig M. Janik, et al.

Title: AUTOMOTIVE STORAGE AND PLAYBACK DEVICE AND A METHOD FOR USING THE SAME

Express Mail Label No. EL431887649US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

J1040 U.S. PTO  
10/05/01  
10/17/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.  **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.  **Specification (Total Pages 49)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  **Drawings(s) (35 USC 113) (Total Sheets 21)**
5.  **Oath or Declaration (Total Pages 6)**
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 18 completed)
    - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.  Unsigned.
6.  **Application Data Sheet. (37 CFR 1.76)**
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  **Nucleotide and/or Amino Acid Sequence Submission**  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

COPY OF PAPERS  
ORIGINALLY FILED

**ACCOMPANYING APPLICATION PARTS**

9.  **Assignment Papers (cover sheet & documents(s))**

10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

11.  b. Power of Attorney

12.  English Translation Document (if applicable)

13.  a. Information Disclosure Statement (IDS)/PTO-1449

14.  b. Copies of IDS Citations

15.  **Preliminary Amendment**

16.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

17.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

18.  **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

19.  Other: Copy of Express Mail Label package showing contents therein.

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation       Divisional       Continuation-In-part (CIP)  
Of Prior Application No.: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_  
(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**Applicant(s): Also Include a Preliminary Amendment to amend the specification to claim priority.**

**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

**19. Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME Archana B. Vittal

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Archana B. Vittal

Registration No.: 45,182

Signature: Archana Vittal

Date: 10/19/2001

## FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) 1202.00

## Complete If Known:

Application No. Unassigned  
 Filing Date Herewith  
 First Named Inventor Craig M. Janik, et al.  
 Group Art Unit Unassigned  
 Examiner Name Unassigned  
 Attorney Docket No. 5532.P020

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Money Order  
 Other

## FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code 101	Fee Code 201	Utility application filing fee	<u>740.00</u>
740	370		
Fee Code 106	Fee Code 206	Design application filing fee	<u></u>
330	165		
Fee Code 107	Fee Code 207	Plant filing fee	<u></u>
510	255		
Fee Code 108	Fee Code 208	Reissue filing fee	<u></u>
740	370		
Fee Code 114	Fee Code 214	Provisional application filing fee	<u></u>
160	80		

SUBTOTAL (1) \$ 740.002. EXTRA CLAIM FEES

<u>Total Claims</u>	<u>Independent Claims</u>	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
<u>41</u>	<u>4</u>	<u>- 20** = 21</u>	<u>X 18</u>	<u>= 378.00</u>
			<u>X 84</u>	<u>= 84.00</u>
<u>Multiple Dependent</u>				

\*\*Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee Code 103	Fee Code 203	Claims in excess of 20
18	9	
Fee Code 102	Fee Code 202	Independent claims in excess of 3
84	42	
Fee Code 104	Fee Code 204	Multiple dependent claim, If not paid
280	140	
Fee Code 109	Fee Code 209	**Reissue independent claims over original patent
84	42	
Fee Code 110	Fee Code 210	**Reissue claims in excess of 20 and over original patent
18	9	

SUBTOTAL (2) \$ 462.00

## FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
099	8,800	099	8,800
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
148	110	248	55
149	740	249	370
179	740	279	370
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,280	091	1,280
Other fee (specify) _____			
Other fee (specify) _____			
<b>SUBTOTAL (3) \$ 0</b>			

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Archana B. Vittal

Signature: Archana Vittal Date: 10/19/2001

Reg. Number: 45,182 Telephone Number: (408) 720-8300

"Express Mail" mailing label number:EL431887649US

Date of Deposit: October 19, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Carla Zavala

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date signed)

**EL431887649US**

Serial/Patent No.: <u>Unassigned</u>	Filing/Issue Date: <u>Herewith</u>
Client: <u>Simple Devices</u>	
Title: <u>AUTOMOTIVE STORAGE AND PLAYBACK DEVICE AND A METHOD FOR</u>	
<u>USING THE SAME</u>	
BSTZ File No.: <u>5532.P020</u>	Atty/Secty Initials: <u>MJM/ABV/cz</u>
Date Mailed: <u>10-19-01</u>	Docket Due Date: <u>* * *</u>
The following has been received in the U.S. Patent & Trademark Office on the date stamped herein:	
<u>EL431887649US</u>	
<input checked="" type="checkbox"/> Amendment/Response ( <u>  </u> pgs.)	
<input type="checkbox"/> Appeal Brief ( <u>  </u> pgs.) (in triplicate)	
<input checked="" type="checkbox"/> Application - Utility ( <u>49</u> pgs., with cover and abstract)	
<input type="checkbox"/> Application - Rule 1.53(b) Continuation ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(b) Divisional ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(b) CIP ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - Design ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - PCT ( <u>  </u> pgs.)	
<input type="checkbox"/> Application - Provisional ( <u>  </u> pgs.)	
<input checked="" type="checkbox"/> Assignment and Cover Sheet	
<input checked="" type="checkbox"/> Certificate of Mailing	
<input checked="" type="checkbox"/> Declaration & POA ( <u>6</u> pgs.) <u>unsigned</u>	
<input type="checkbox"/> Disclosure Docs & Oig & Copy of Inventor Signed Letter ( <u>  </u> pgs.)	
<input checked="" type="checkbox"/> Drawings: <u>21</u> # of sheets includes <u>21</u> figures	
<input checked="" type="checkbox"/> Express Mail No.: <u>  </u> Month(s) Extension of Time <u>  </u>	
<input type="checkbox"/> Information Disclosure Statement & PTO-149 ( <u>  </u> pgs.)	
<input type="checkbox"/> Issue Fee Transmittal	
<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Petition for <u>  </u>	
<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Power of Attorney ( <u>  </u> pgs.)	
<input type="checkbox"/> Preliminary Amendment ( <u>  </u> pgs.)	
<input type="checkbox"/> Reply Brief ( <u>  </u> pgs.)	
<input type="checkbox"/> Response to Notice of Missing Parts	
<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input checked="" type="checkbox"/> Transmittal Letter, in duplicate	
<input checked="" type="checkbox"/> Fee Transmittal, in duplicate	
<input checked="" type="checkbox"/> Other: <u>Copy of Certificate of Mailing Label showing Contents therein.</u>	